

Department of Homeland Security Grant and Funding Opportunities for the Water Sector

DRAFT – June 18, 2007

Introduction

Homeland Security Presidential Directive 7 identifies the water sector (drinking water and wastewater utilities) as one of the nation’s 17 critical infrastructure areas. The water sector is eligible for a portion of the \$1.7 billion that the Department of Homeland Security (DHS) will be allocating for local homeland security programs under the Homeland Security Grant Program (HSGP) and the \$445 million to secure critical infrastructure under the Infrastructure Protection Program (IPP) in fiscal year (FY) 2007. These grants will enhance the water sector’s ability to prepare for, respond to and recover from manmade and naturally occurring events.

This Water Security Grant and Funding Matrix was developed to provide information to the water sector on grants and funding available for water security related measures. This matrix identifies the major opportunities for the water sector, offered by DHS and its Federal Emergency Management Agency (FEMA), and provides specific background, eligibility criteria, application timelines, available funding, and acceptable uses of that funding. Although the State Administrative Agency (SAA) or specific state agencies apply for the grants, utilities and states should become familiar with this information and contacts in order to be better prepared to respond to grant opportunities. This document is organized in the following order:

- **Table 1** includes the three grant initiatives under the HSGP that are applicable to the water sector: the State Homeland Security Grant Program (SHSP) and the Urban Areas Security Initiative (UASI) and the UASI Nonprofit Security Grant Program (NSGP).
- **Table 2** includes two other grant programs offered by DHS that are applicable to the water sector: the Buffer Zone Protection Plan (BZPP) and the Pre-Disaster Mitigation Program (PDM). It also includes the Emergency Management Performance Grants (EMPG), which became independent of the HSGP in FY 2006.
- **Table 3** includes the Competitive Training Grant Program (CTGP) offered by DHS.
- **Table 4** provides all key Web site links for each of the grant programs.

For questions regarding the information in the matrix, please contact [TBD].

Note: Obtaining funding from these grants takes preparation and collaboration. Even if you have missed a deadline, your application for the next year will be more effective if you are familiar with past grant processes and the people involved, including SAAs.

Table 1. Homeland Security Grant Program (HSGP) and Emergency Management Performance Grants (EMPG)

Program	Homeland Security Grant Program (HSGP)		
	State Homeland Security Grant Program (SHSP)	Urban Areas Security Initiative (UASI)	Urban Areas Security Initiative (UASI) Nonprofit Security Grant Program (NSGP)
Grant Hierarchy	DHS provides funding for these programs through its Office for Domestic Preparedness (ODP). SSAs are the only agencies that can apply for funds. ODP provides funds to the individual SAA. The SAA obligate the funds to sub-grantees: local units of government and other designated recipients.		

Program	Homeland Security Grant Program (HSGP)		
	State Homeland Security Grant Program (SHSP)	Urban Areas Security Initiative (UASI)	Urban Areas Security Initiative (UASI) Nonprofit Security Grant Program (NSGP)
Program Purpose	<p>The FY 2007 State Homeland Security Grant Program (SHSP) is a core homeland security assistance program that provides funds to build capabilities at the state and local levels through planning, equipment, training, and exercise activities and to implement the goals and objectives included in State Homeland Security Strategies and Initiatives in the Program and Capability Enhancement Plan. SHSP funding also supports the four mission areas of homeland security—prevent, protect, respond, and recover—and addresses all of the National Priorities and the 37 Target Capabilities, as they relate to terrorism.</p> <p>In light of several major new national planning priorities, which address such issues as pandemic influenza and the aftermath of Hurricane Katrina, the allowable scope of SHSP activities include catastrophic events, provided that these activities also build capabilities that relate to terrorism.</p> <p>SHSP supports the implementation of State Homeland Security Strategies to address the identified planning, equipment, training, and exercise needs for acts of terrorism. In addition, SHSP supports the implementation of the National Preparedness Goal, the National Incident Management System (NIMS), and the NRP.</p>	<p>The FY 2007 UASI program provides financial assistance to address the unique multidiscipline planning, operations, equipment, training, and exercise needs of high-threat, high-density Urban Areas, and to assist them in building and sustaining capabilities to prevent, protect against, respond to, and recover from threats or acts of terrorism.</p> <p>The FY 2007 UASI program provides the opportunity to enhance regional preparedness efforts. Urban Areas must employ regional approaches to overall preparedness and are encouraged to adopt regional response structures whenever appropriate to meet the goals identified in the Urban Area Homeland Security Strategy and common, measurable objectives. Security and preparedness officials at all levels should seek opportunities to leverage funding from multiple sources whenever possible and not restrict their activities to federal funding alone. UASI funding will be provided to identify Urban Area authorities through the SAAs. In some instances Urban Area boundaries cross state borders. States must ensure that the identified Urban Areas take an inclusive regional approach to the development and implementation of the FY 2007 UASI program and involve the contiguous jurisdictions, mutual aid partners, port authorities, rail and transit authorities, and state agencies, Citizen Corps Council(s), and Metropolitan Medical Response Systems (MMRS) in their program activities.</p> <p>USAI NSGP: The FY 2007 NSGP provides funding support for target-hardening activities to nonprofit organizations that are deemed at high risk for a potential terrorist attack. While this funding is provided specifically to high-risk nonprofit organizations, the program seeks to integrate nonprofit preparedness activities with broader state and local preparedness efforts. It is also designed to promote coordination and collaboration in emergency preparedness activities among public and private community representatives, state and local government agencies, and Citizen Corps Councils.</p>	

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	State Homeland Security Grant Program (SHSP)	Urban Areas Security Initiative (UASI)	Urban Areas Security Initiative (UASI) Nonprofit Security Grant Program (NSGP)
Acceptable Funding Uses	<p>These funds support costs including but not limited to:</p> <ul style="list-style-type: none"> • Homeland security and emergency operations planning activities; • Purchase of specialized equipment [see guidance related to Authorized Equipment List (AEL) and the Responder Knowledge Base (RKB) at http://www.rkb.mipt.org; • Costs related to the design, development, and conduct of security training programs and attendance at ODP-approved courses; • Design, development, conduct, and evaluate approved exercises; • Models, simulations or games; • Related exercises and trainings in preparing to hosting a special event (e.g., Superbowl) or a TOPOFF exercise; • Enhanced security allowable at critical infrastructure activities, including improved lighting, fencing, closed circuit TV systems, communication antennas, motion detection systems, barriers, gates, etc., not to exceed \$1 million; • Overtime and backfill costs for attendance at ODP sponsored or approved trainings, • Allowable personnel costs for SHSP are explained in Chapter III, Section E – Allowable Costs Guidance. Up to 15 percent of the program funds may be used to support the hiring of full or part-time personnel to conduct program activities that are allowable under the FY07 HSGP (e.g., planning, training program management, exercise program management); • Full-time Counterterrorism Staffing Pilot for FY07 UASI. <p>Organization costs are not allowed under SHSP.</p> <p>A list of mandatory standards for each equipment item can be found at the following Website: http://www.rkb.mipt.org</p>		<p>NSGP funds must be used for target-hardening activities, which can be accomplished through training or the purchase or installation of security equipment on real property owned or leased by the nonprofit organization. Allowable equipment is limited to physical security enhancements (e.g., alarm systems, bulletproof doors or windows) or inspection and screening systems (e.g., walk-through magnetometers and conveyor-belt x-ray systems used to screen personnel and packages for hazardous materials/devices).</p> <p>Additionally, nonprofit organization security personnel may use NSGP funds to attend security-related training courses and programs. Allowable training-related costs under NSGP are limited to attendance fees for the training, and related expenses, such as materials, supplies, and/or equipment. Overtime, backfill, and/or travel expenses are not allowable costs. Allowable training topics are limited to the protection of CI/KR, including physical and cyber security, target hardening, and terrorism awareness/employee preparedness.</p>

Program	Homeland Security Grant Program (HSGP)		
	State Homeland Security Grant Program (SHSP)	Urban Areas Security Initiative (UASI)	Urban Areas Security Initiative (UASI) Nonprofit Security Grant Program (NSGP)
Eligibility Criterion	<p>SHSP expenditures must be linked to goals or objectives in State Homeland Security Strategy.</p> <p>To be eligible to receive FY 2007 federal preparedness funding assistance, applicants must meet NIMS compliance requirements. Risk will be evaluated at the federal level using a risk analysis model developed by DHS in conjunction with other federal entities.</p> <p>Risk is defined as the product of the following principal variables:</p> <ul style="list-style-type: none"> • Threat – the likelihood of an attack occurring • Vulnerability and Consequence – the relative exposure and expected impact of an attack 	<p>UASI expenditures are expended based upon the Urban Area Homeland Security Strategies. Activities implemented under UASI must support terrorism preparedness and build or enhance capabilities that relate to terrorism in order to be considered eligible, even if the capabilities themselves do not focus exclusively on terrorism. For example, mass evacuation planning supports terrorism preparedness but also other types of catastrophic events. Planning for pandemic influenza and linking that effort to a larger bioterrorism preparedness effort offers another example. Grantees must demonstrate the dual-use nature of any activities implemented under this program that are not explicitly focused on terrorism preparedness.</p>	<p>USAI NSGP Nonprofit, 501(c)(3) organizations that are at deemed high risk of a potential terrorist attack and are located within one of the specific FY07 UASI-eligible urban areas are eligible to submit applications through their SAA. Criteria for determining eligible applicants who are at high risk of terrorist attack include, but are not limited to:</p> <ul style="list-style-type: none"> • Identification and substantiation (e.g., police reports or insurance claims) of prior threats or attacks against the nonprofit organization or closely related organizations (within or outside the U.S.) by a terrorist organization, network, or cell • Symbolic value of the site(s) as a highly recognized national or historical institution that renders the site a possible target of terrorism • Role of the applicant nonprofit organization in responding to or recovering from terrorist attacks • Findings from previously conducted risk assessments including threat, vulnerability or consequence <p>Not all eligible nonprofit organizations and UASI communities are guaranteed to receive funding under the FY 2007 NSGP. Allocation decisions will be made based on risk and how well applicants address program requirements through their investment justifications.</p>
Who is Eligible	The Governor of each state has designated an SAA to apply for and administer the funds under HSGP. The SAA is the only agency eligible to apply for HSGP funds and is responsible for obligating HSGP funds to local units of government and other designated recipients.		
Contacts/ Web Site	<p>For all application and funding questions please contact your state's SAA.</p> <p>SAA-appointed contact responsible for managing all ODP grants: http://www.ojp.usdoj.gov/odp/contact_state.htm</p> <p>The Office for Domestic Preparedness 810 Seventh Street, N.W. Washington, DC 20531 ODP Centralized Scheduling and Information Desk (CSID) 1-800-368-6498, 8:00 a.m. to 7:00 p.m. EST/EDT, Mon-Fri.; Fax: 202-786-9920</p>		

Program	Homeland Security Grant Program (HSGP)		
	State Homeland Security Grant Program (SHSP)	Urban Areas Security Initiative (UASI)	Urban Areas Security Initiative (UASI) Nonprofit Security Grant Program (NSGP)
Application/Timeframe	<p>HSGP Guidance is located at : http://www.ojp.usdoj.gov/odp/docs/fy07_hsgp_guidance.pdf</p> <p>Completed Applications must be submitted to Grants.gov no later than 11:59 p.m. Eastern Daylight Time, April 5, 2007.</p> <p>SAA's must apply for FY 2007 HSGP funding through the online Grants.gov system through the Authorized Organization Representative (AOR) to ensure a successful submission. If applicants have previously used Grants.gov, the same account may be used for FY 2007 HSGP.</p> <p>Electronic submission through Grant Management System, http://www.ojp.usdoj.gov/fundopps.htm. Assistance with GMS may also be obtained by using the following toll-free telephone number: 1-888-549-9901.</p> <p>Reminder: Only SAA's may apply for grants.</p>		<p>UASI Guidance is located at: http://www.ojp.usdoj.gov/odp/docs/FY07_UASI_Guidance.pdf</p> <p>SAA's must submit applications for the FY 2007 UASI Nonprofit Security Grant Program online at www.grants.gov by 11:59 p.m. EDT on June 22, 2007. DHS will evaluate and act on applications within 60 days of the submission deadline.</p> <p>Applicants must submit Investment Justifications for funding requests that address the above criteria, as well as specific information on what activities will be implemented, what outcomes will be achieved, how the investment will be managed, and how the investment and related security enhancement activities are being coordinated with relevant State and local authorities.</p> <p>Applications will be reviewed in two phases:</p> <ol style="list-style-type: none"> 1) Prior to application submission, applications will be reviewed and prioritized by the SAA, in coordination with the Urban Area Working Group and the local Citizen Corps Council. 2) A panel of evaluators from relevant DHS agencies (Federal Emergency Management Agency's National Preparedness Directorate, Infrastructure Protection, Domestic Nuclear Detection Office, and Intelligence & Analysis) will then review the prioritized applications and make final determinations. <p>The period of performance is 24 months from the date of award.</p>
Funding Distribution	<p>Funding priorities for this year continue and further refine HSGP's primary commitment to risk-based funding and also continue to deepen the commitment to assisting with regional planning and security coordination. The FY 2007 Homeland Security Appropriations Act directed DHS to administer the HSGP in a manner consistent with the FY 2006 HSGP practice. Consequently, the Office of Grants and Training (G&T) will allocate SHSP and UASI funds based on risk and anticipated effectiveness, with a minimum allocation to states under SHSP. For SHSP and UASI, G&T will combine analysis on risk and anticipated effectiveness to finalize the allocations. Each applicant's final funding allocation will be determined using a combination the results of the risk and effectiveness analysis, with approximately a two-thirds weight applied to risk and approximately a one-third weight applied to effectiveness. This weighting further reinforces DHS' commitment to a risk-based approach to national preparedness.</p>		

Program	Homeland Security Grant Program (HSGP)		
	State Homeland Security Grant Program (SHSP)	Urban Areas Security Initiative (UASI)	Urban Areas Security Initiative (UASI) Nonprofit Security Grant Program (NSGP)
Funding Distribution	<p>FY 2007 SHSP funds will be allocated based on the analyses of risk and anticipated effectiveness. Each state will receive a minimum allocation under SHSP using the USA PATRIOT Act formula. All 50 States, the District of Columbia, and Puerto Rico will receive 0.75 percent of the total allocation for those programs. Four Territories (American Samoa, Guam, Northern Mariana Islands, and the U.S. Virgin Islands) will receive a minimum allocation of 0.25 percent of the total for SHSP.</p> <p>Each state must make no less than 80 percent of the total grant program amount available to local units of government, including identified Urban Areas, within 60 days of the receipt of funds. For Puerto Rico, the pass-through requirement is 50 percent of total grant program funds within 60 days of receipt of funds.</p>	<p>Each state must make no less than 80 percent of the total grant program amount available to local units of government, including identified Urban Areas, within 60 days of the receipt of funds. Any UASI funds retained by the SAA must be used to directly support the designated Urban Areas in the state. For Puerto Rico, the pass-through requirement is 50 percent of total grant program funds within 60 days of receipt of funds.</p> <p>In response to requests from our USAI partners, the FY07 program includes a pilot test that gives the highest risk metropolitan areas additional flexibility in using their grant funds under that program. Any UASI funds retained by the SAA must be used to directly support the designated Urban Areas in the state.</p> <p>FY 2007 UASI funds will be allocated based on risk and anticipated effectiveness upon completion of the application review process. The 45 candidates are eligible to apply for funding under the UASI program. The eligible candidates have been grouped into two tiers according to relative risk. Tier I includes the six highest risk areas; Tier II includes the other 39 candidate areas. The tier approach was employed to allow applicants with a similar level of risk to compete for funding on a level playing field.</p>	<p>Grant recipients must meet a 75 percent federal/25 percent grantee soft match. Grantee contributions must be from non-Federal sources. For all costs other than training, the grantee's match may be met through cash, training investments related to use of equipment purchased with the grant, or training investments related to general purpose security and emergency preparedness for staff. In the case of training projects, awardees must meet the matching requirement through cash. For example, the costs of training security guards on new screening equipment purchased as part of the grant or providing general preparedness training for nonprofit organization staff can be leveraged to satisfy the match. In no event can regular personnel costs such as salary, overtime, or other operational costs unrelated to training be used to satisfy the matching requirement.</p>

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	State Homeland Security Grant Program (SHSP)	Urban Areas Security Initiative (UASI)	Urban Areas Security Initiative (UASI) Nonprofit Security Grant Program (NSGP)
Cost Share?	No	No	Nonprofit organizations must agree to match 25 percent of federal grant funds in cash or through equivalent, related training. In the case of training projects, awardees must meet the matching requirement through cash. In no event can regular personnel costs such as salary, overtime, or other operational costs unrelated to training be used to satisfy the matching requirement.
Competitive or Non-competitive?	Competitive, although DHS has elected to provide a base allocation to states under SHSP. The remainder of those funds will be allocated based on risk and need.	Competitive - all funding will be allocated based on risk and need.	
Funding Available	FY 2007 \$509.3 million	FY 2007 \$746.9 million	FY 2007 \$24,007,500 Each nonprofit organization may apply through the state for up to a \$100,000 grant award.

Table 2. Buffer Zone Protection Program (BZPP) and Pre-Disaster Mitigation Program (PDM)

Program	Infrastructure Protection Program (IPP)	Pre-Disaster Mitigation Program (PDM)	Emergency Management Performance Grants (EMPG)
	Buffer Zone Protection Program (BZPP)		
Grant Hierarchy	<p>DHS provides the funding for this program through ODP.</p> <p>ODP provides funds to the individual SAA. These are the only agencies that can apply for funds.</p> <p>The SAA obligate the funds to sub-grantees—local units of government and other designated recipients within the critical infrastructure and key resource (CI/KR) sites.</p>	<p>DHS provides funding for this program through FEMA.</p> <p>The state EMA or similar offices are eligible to apply. Sub-applicants apply to the applicant for assistance. Sub-applicants eligible include: state-level agencies including state institutions (e.g., state hospital or university); federally recognized Indian tribal governments; local governments, including state recognized Indian tribes, authorized Indian tribal organizations, and Alaska Native villages; public colleges and universities; and Indian tribal colleges and universities.</p>	<p>DHS provides funding for these programs through its Office for Domestic Preparedness (ODP).</p> <p>ODP provides funds to the individual SAA. SAAs are the only agencies that can apply for funds.</p> <p>The SAA obligate the funds to sub-grantees: local units of government and other designated recipients.</p>
Program Purpose	<p>The funds provided by the BZPP are intended to increase the preparedness capabilities of responsible jurisdictions in communities surrounding high-priority CI/KR assets through allowable planning and equipment acquisition. The BZPP assists responsible jurisdictions in building effective prevention and protection capabilities that will make it more difficult for terrorists to conduct site surveillance or launch attacks within the immediate vicinity of selected CI/KR assets.</p> <p>Private Sector Coordination. Critical infrastructure is largely privately-owned and operated. Enhancing public/private partnerships will leverage private sector initiatives, resources, and capabilities, as permitted by applicable laws and regulations.</p>	<p>The Pre-Disaster Mitigation (PDM) program will provide funds to states, territories, federally recognized Indian tribal governments, and communities for hazard mitigation planning and the implementation of mitigation projects prior to a disaster event. Funding these plans and projects reduces overall risks to the population and structures, while also reducing reliance on funding from actual disaster declarations.</p>	<p>With funds provided through the FY 2007 EMPG, states have the opportunity to structure individual emergency management programs based on identified needs and priorities for strengthening their emergency management capabilities, while addressing issues of national concern. States have the flexibility to develop intrastate emergency management systems that encourage the building of partnerships that include government, business, volunteer and community organizations.</p> <p>An all-hazards approach to preparedness, including the development of a comprehensive program of planning, training, and exercises, encourages an effective and consistent response to any threatened or actual disaster or emergency, regardless of the cause. As authorized by the FY 2007 DHS Appropriations Act (P.L. 109-295), the FY 2007 EMPG program seeks to provide resources to assist state and local governments in moving forward with this all-hazards planning approach to emergency management.</p>
Acceptable Funding Uses	<p>FY07 BZPP allowable costs are therefore divided into the following three categories:</p> <ol style="list-style-type: none"> 1. Planning 2. Equipment acquisitions 3. Management and administration 	<p>Mitigation planning activities:</p> <ul style="list-style-type: none"> • New plan development; • Upgrade; and • Comprehensive review and update <p>Mitigation project activities:</p>	<p>EMPG funds may be used for the following activities related to NIMS:</p> <ul style="list-style-type: none"> • Planning for NIMS implementation • Modifying existing incident management and emergency operations plans to

Program	Infrastructure Protection Program (IPP)	Pre-Disaster Mitigation Program (PDM)	Emergency Management Performance Grants (EMPG)
	Buffer Zone Protection Program (BZPP)		
Acceptable Funding Uses	<p>Appendix 2 of the BZPP guidance provides additional detail about each of these three allowable expense categories, as well as a section that identifies several specifically unallowed cost items.</p> <p>Authorized Equipment List (AEL):</p> <ul style="list-style-type: none"> Explosive Device Mitigation and Remediation Equipment Interoperable Communications Equipment Detection Equipment Physical Security Enhancement Inspection and Screening Equipment 	<ul style="list-style-type: none"> Voluntary acquisition of real property (i.e. structures and land, where necessary) for conversion to open space in perpetuity; Relocation of public or private structures; Elevation of existing public or private structures to avoid coastal or riverine flooding; Structural retrofitting and non-structural retrofitting (e.g., storm shutters, hurricane clips, bracing systems) of existing public or private structures to meet or exceed applicable building codes relative to hazard mitigation; Construction of safe rooms (e.g., tornado and severe wind shelters) for public and private structures that meet the FEMA construction criteria in FEMA 320 "Taking Shelter from the Storm" and FEMA 361 "Design and Construction Guidance for Community Shelters"; Hydrologic and Hydraulic studies/analyses, engineering studies, and drainage studies for the purpose of project design and feasibility determination 	<p>ensure proper alignment with the NIMS and NRP coordinating structures, processes, and protocols</p> <p>Conducting all-hazards emergency management training, including NIMS FY 2007 training requirements</p> <p>The National Preparedness Goal identifies six elements of capability that support the building and sustaining of capabilities, which are consistent with NIMS. These newly outlined elements of capability track closely with the five allowable cost categories traditionally used in G&T grant programs (planning, organization, equipment, training, and exercises, oftentimes referred to collectively as POETE). In addition to these programmatic allowable costs, EMPG also allows for personnel costs, as well as management and administrative (M&A) costs.</p> <p>FY07 EMPG activities focus on addressing shortfalls and sustaining capabilities in their emergency management programs, with a specific focus on planning for catastrophic events.</p> <p>Acceptable costs include planning, organization, equipment, training, M&A, exercises, and some personnel costs. Construction and renovation costs related to updating facilities to be usable and accessible to individuals with disabilities is permissible. See the guidance information for details of these areas.</p> <p>Acceptable costs can also include the purchase of specialized equipment. [See guidance related to Authorized Equipment List (AEL) and the Responder Knowledge Base (RKB), http://www.rkb.mipt.org.]</p>

Program	Infrastructure Protection Program (IPP)	Pre-Disaster Mitigation Program (PDM)	Emergency Management Performance Grants (EMPG)
	Buffer Zone Protection Program (BZPP)		
Eligibility Criterion	The Governor of each state has designated an SAA to apply for and administer the funds under BZPP. The SAA is the only agency eligible to apply for BZPP funds and is responsible for obligating BZPP funds to the appropriate local units of government or other designated recipients. The SAA must coordinate all BZPP activities with the respective State Homeland Security Advisor.	<p>Only the state emergency management agencies or a similar office are eligible to apply to FEMA for assistance as Applicants under this program. Each state, territory, or Indian tribal government must designate one Agency to serve as the Applicant for this program. Each Applicant may submit a maximum of five sub-applications (planning and/or projects) and one sub-application for Applicant management costs.</p> <p>The following entities are eligible to apply to the Applicant for assistance: state-level agencies including state institutions (e.g., state hospital or university); federally recognized Indian tribal governments; local governments, including state-recognized Indian tribes, authorized Indian tribal organizations, and Alaska Native villages; public colleges and universities; and Indian tribal colleges and universities. Private non-profit (PNP) organizations and private colleges and universities are not eligible Sub-applicants; however, an eligible, relevant state agency or local government may apply to the Applicant as the Sub-applicant for assistance to benefit the private entity.</p>	<p>The Governor of each state has designated an SAA to apply for and administer the funds under HSGP and EMPG. The SAA is the only agency eligible to apply for HSGP funds and is responsible for obligating HSGP funds to local units of government and other designated recipients.</p> <p>The SAA appointed contact responsible for managing all ODP grants can be found at: http://www.ojp.usdoj.gov/odp/contact_state.htm</p>
Contacts/ Web Site	<p>The Office for Domestic Preparedness 810 Seventh Street, N.W. Washington, DC 20531 ODP Centralized Scheduling and Information Desk (CSID) 1-800-368-6498, 8:00 a.m. to 7:00 p.m. EST/EDT, Mon-Fri.</p> <p>Additional questions may be directed to your Transportation Preparedness Officer or the Centralized Scheduling and Information Desk at askcsid@dhs.gov or 1-800-368-6498.</p> <p>Reminder: Only SAAs may apply for grants.</p>	<p>Contact Regional FEMA office Web site: http://www.fema.gov/about/contact/regions.shtml</p> <p>e-grants helpdesk 1-866-476-0544</p>	<p>For all application and funding questions, please contact your state's SAA.</p> <p>SAA-appointed contact responsible for managing all ODP grants: http://www.ojp.usdoj.gov/odp/contact_state.htm</p> <p>The Office for Domestic Preparedness 810 Seventh Street, N.W. Washington, DC 20531 ODP Centralized Scheduling and Information Desk (CSID) 1-800-368-6498, 8:00 a.m. to 7:00 p.m. EST/EDT, Mon-Fri.; Fax: 202-786-9920</p>

Program	Infrastructure Protection Program (IPP)	Pre-Disaster Mitigation Program (PDM)	Emergency Management Performance Grants (EMPG)
	Buffer Zone Protection Program (BZPP)		
Application/Timeframe	<p>FY 2007 Guidance may be found at: http://www.ojp.usdoj.gov/odp/docs/fy07_bzpp_guidance.pdf</p> <p>Completed applications for the FY 2007 BZPP must be received by G&T no later than 11:59 p.m., Eastern Standard Time, March 6, 2007. The period of performance is 36 months.</p>	<p>FY 2007 Guidance may be found at: http://www.fema.gov/government/grant/pdm/fy2007.shtm</p> <p>Applicants must submit an FY 2007 PDM grant application to the appropriate FEMA Regional Office by February 5, 2007, at 11:59:59 p.m. Eastern Standard Time. Each applicant may submit an unlimited number of sub-applications for eligible planning/project activities that the applicant has reviewed and approved. A separate management cost sub-application must be submitted for Applicant management costs. Applicants must rank each sub-application included in the grant application in order of their priority for funding based on the applicant's standard or enhanced hazard mitigation plan.</p> <p>The applicant or sub-applicant are required to perform a Benefit-Cost Analysis (BCA) for each property, including repetitive flood loss properties and substantially damaged properties.</p>	<p>EMPG Guidance is located at : http://www.ojp.usdoj.gov/odp/docs/fy2007empg.pdf</p> <p>Completed applications for the FY 2007 EMPG must be received by G&T no later than 11:59 p.m., Eastern Standard Time, December 29, 2006.</p> <p>Reminder: Only SAAs may apply for grants.</p>
Funding Distribution	<p>Funds are awarded to and distributed through the SAA designated by the governor of each state. Each state must make no less than 95 percent of the total grant program amount available to the responsible unit of government within 60 days of the approval notification for the Vulnerability Reduction Purchase Plan (VRPP). The VRPP identifies a spending plan to protect given infrastructure assets. It includes the planning activities and equipment necessary to implement the BZPP. Details about the VRPP content and format have been provided to SAAs that administer this program.</p>	<p>Funding for the program is provided through the National Pre-Disaster Mitigation Fund to assist states and local governments in implementing cost-effective hazard mitigation activities that complement a comprehensive mitigation program.</p>	<p>FY 2007 EMPG funds have been allocated consistent with previous fiscal years. Pursuant to the Compact of Free Association, funds are available for the Federated States of Micronesia and for the Republic of the Marshall Islands. Table 3 on page 24 of the FY 2007 EMPG guidance provides state-by-state FY 2007 EMPG funding allocations.</p> <p>Each state must obligate 100 percent of the total grant program amount to the designated state-level emergency management agency (EMA). State EMAs are encouraged to pass EMPG funds through to local EMAs, as appropriate.</p>

Program	Infrastructure Protection Program (IPP)	Pre-Disaster Mitigation Program (PDM)	Emergency Management Performance Grants (EMPG)
	Buffer Zone Protection Program (BZPP)		
Cost Share?	No	<p>Up to 75 percent federal cost share. Small and impoverished communities may be eligible for up to a 90 percent federal cost share.</p> <p>As a new initiative in FY 2007, each state (including territories) will receive no less than \$500,000 provided the state submits sub-applications for at least that amount and the application(s) meets all program eligibility and completeness requirements. The maximum PDM award for any one state must not exceed \$15 million. There is a \$1 million cap on Federal share for plans and a single federal share cap of \$3 million for projects.</p>	EMPG has a 50 percent federal and 50 percent state cost-share cash or in-kind match requirement. Match requirements are waived for the US Territories of American Samoa, Guam, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands.
Competitive or Non-Competitive	Competitive	Competitive	Competitive
Funding Available	FY 2007 \$48.5 million	FY 2007 \$100 million	FY 2007 \$194 million

Table 3. Competitive Training Grant Program (CTGP)

Program	Competitive Training Grant Program (CTGP)
Grant Hierarchy	DHS provides the funding for this program through FEMA.
Program Purpose	The Fiscal Year (FY) 2007 CTGP awards funds to competitively selected applicants to develop and deliver innovative training programs addressing high priority national homeland security training needs.
Acceptable Funding Uses	<p>Training programs that support the Nation's preparedness have long relied on classroom instruction and practical exercises. To ensure that CTGP training courses are accessible to as many key public and private officials with homeland security responsibilities throughout the nation as possible, more distributed and flexible training models are encouraged. This "blended learning" approach encourages traditional classroom instruction with creative uses of web-based and computer-based training, simulations, and video tele-training. It is also encouraged to offer courses locally or regionally to leverage expertise, share resources, and enhance training capacity. Proposed training programs must be innovative and distinct in comparison to current training offered by DHS's National Domestic Preparedness Consortium, other DHS training partners, other federal agencies, and training traditionally offered by State and local training academies for routine missions.</p> <p>Training should be designed for and delivered to appropriate state and local personnel with Homeland Security related responsibilities. The audience for training should be identified by applicants in their proposals.</p> <p>In FY 2007, the emphasis is on the development and delivery of courses in one of the following five focus areas:</p> <ul style="list-style-type: none"> • Public communications • Executive leadership of homeland security programs • Intergovernmental coordination and planning • Managing homeland security risks • Legal issues in preparation, response, and recovery <p>These five focus areas reflect important components of a national homeland security training program that will ultimately support all target capabilities. These areas are broad and complex. Each applicant is expected to use a combination of readily available resources and their working knowledge of the subject matter to refine the focus area and to shape the overall training program in keeping with current national policies, doctrines, and priorities. Proposed training must be consistent with applicable federal regulations, policies, and guidance that govern the focus area.</p> <p>Awards will have a funding period not to exceed 36 months.</p>
Eligibility Criterion	Eligible applicants include: state, local, tribal, and territorial governments; national associations and organizations with a demonstrable stake in and/or expertise relevant to one of the focus areas; higher education institutions with existing programs that address one of the focus areas; nonprofits, including community and faith-based organizations with a demonstrable stake in and/or expertise relevant to one of the focus areas; and private sector corporations including owners and operators of critical infrastructure or other entities which can demonstrate a stake in and/or expertise relevant to one of the focus areas.
Contacts/ Web Site	<p>FY 2007 Guidance may be found at: http://www.ojp.usdoj.gov/odp/grants_programs.htm#fy2007CTGP Additional Information may be found at: http://ojp.usdoj.gov/odp/training_ctgp.htm.</p> <p>DHS has established a special e-mail account exclusively for CTGP program questions. Please e-mail your questions dealing with the scope, purpose, or intent of this program to CTGPhelp@dhs.gov. Allow one business day for a reply.</p> <p>The Centralized Scheduling and Information Desk (CSID) Helpline can be contacted at 1-800-368-6498 or askcsid@dhs.gov. Hours of operation are from 8:00 am–6:00 pm (EST), Monday–Friday.</p>

Program	Competitive Training Grant Program (CTGP)
Application/Timeframe	<p>Beginning April 17, 2007, eligible entities may apply for funding through the Grants.gov portal, accessible on the Internet at http://www.grants.gov. Applications can be received no later than 11:59 pm EDT May 4, 2007. Registering with Grants.gov is a one-time process; however, if you are a first time registrant, it could take 3–5 business days to have your registration validated and confirmed, and to receive your user name and password. It is highly recommended that you start the registration process as early as possible.</p> <p>Awards will have a funding period not to exceed 36 months.</p> <p>DHS has established a two-step application process for CTGP. The first step involves the submission of an application on Grants.gov that includes an 8-page national training concept paper. Applicants competitively selected during this step will be invited to submit a more detailed national training proposal (step two).</p> <p>Concept papers will be reviewed and evaluated for adherence to content and format requirements as established in the <i>CTGP Program Guidance and Application Kit</i>. Awardees will be determined following an independent subject matter expert evaluation and panel review of full proposals consisting of representatives from academia, industry, or federal government organizations, along with practitioners from federal, state, and local criminal justice and public safety agencies.</p>
Funding Distribution	Funding will be provided in the form of a <i>cooperative agreement</i> directly to the selected applicants.
Cost Share?	No
Competitive or Non-Competitive	Competitive
Funding Available	\$29.1 million

Definitions:

NIMS – National Incident Management System. A system mandated by Homeland Security Presidential Directive 5 that provides a consistent, nationwide approach for federal, state, local, and tribal governments; and the private sector to work effectively and efficiently together to prepare for, respond to, and recover from domestic incidents, regardless of cause, size, or complexity.

NRP – National Response Plan. Establishes a comprehensive all-hazards approach to enhance the ability of the United States to manage domestic incidents. The plan incorporates best practices and procedures from incident management disciplines—homeland security, emergency management, law enforcement, firefighting, public works, public health, responder and recovery worker health and safety, emergency medical services, and the private sector—and integrates them into a unified structure. It forms the basis of how the federal government coordinates with state, local, and tribal governments and the private sector during incidents.

NPS – National Preparedness System. The NPS derives its authority from Homeland Security Presidential Directives 5, 7 and 8, and comprises seven core national initiatives. These initiatives include the National Incident Management System (NIMS), the National Response Plan (NRP), the National Infrastructure Protection Plan (NIPP), the National Preparedness Goal (NPG), Capabilities-Based Planning Tools, Homeland Security Grant Program Guidance, and National Preparedness Guidance. This effort purports to establish a comprehensive national system to ensure that the response to disasters of all types is as efficient and effective as possible. The Office of State and Local Government Coordination and Preparedness (OSLGCP), rather than the Emergency Preparedness and Response Directorate (EP&R, a/k/a FEMA), has been assigned the lead role for developing most of the National Preparedness System.

ODP – Office for Domestic Preparedness. ODP is the principal component of the DHS responsible for preparing the United States against acts of terrorism. In carrying out its mission, ODP is responsible for providing training, funds for the purchase of equipment, support for the planning and execution of exercises, technical assistance and other support to assist states and local jurisdictions to prevent, respond to, and recover from acts of terrorism. ODP achieves its mission by providing grants to states and local jurisdictions, providing hands-on training through a number of residential training facilities and in-service training at the local level, funding and working with state and local jurisdictions to plan and execute exercises, and providing technical assistance on-site to state and local jurisdictions.

Table 4. List of Key Web Sites

Grant Program	Category	Link
SHSP, UASI	FY07 Program Guidance	http://www.ojp.usdoj.gov/odp/docs/fy07_hsgp_guidance.pdf
SHSP, UASI	Target Capabilities List, p. 69	http://www.ojp.usdoj.gov/odp/grants_programs.htm#fy2006hsgp
SHSP, UASI, EMPG	Authorized Equipment List (AEL) guidance and Responder Knowledge Base (RKB)	http://www.rkb.mipt.org (Log in or log in as a guest)
SHSP, UASI, EMPG	State Administrative Agencies (SAA): Contact responsible for managing all ODP grants	http://www.ojp.usdoj.gov/odp/contact_state.htm
SHSP, UASI, EMPG	Instructions regarding electronic submissions and electronic submissions through Grant Management System (GMS)	http://www.ojp.usdoj.gov/fundopps.htm
SHSP	Funding available	http://www.ojp.usdoj.gov/odp/news.htm
SHSP, UASI, EMPG	U.S. DHS Office of Grants and Training	http://www.ojp.usdoj.gov/odp/grants_programs.htm#fy2007empg
SHSP, UASI, EMPG	U.S. DHS Office of Grants and Training News Releases	http://www.ojp.usdoj.gov/odp/news.htm
UASI NSGP	FY07 Program Guidance	http://www.ojp.usdoj.gov/odp/docs/FY07_UASI_Guidance.pdf
EMPG	EMPG Guidance for FY07	http://www.ojp.usdoj.gov/odp/docs/fy2007empg.pdf
BZPP	FY07 Application Guidelines	http://www.ojp.usdoj.gov/odp/docs/fy07_bzpp_guidance.pdf
BZPP	Program title in Catalog of Federal Domestic Assistance (CFDA) is <i>“Department of Homeland Security Fiscal Year 2005 Buffer Zone Protection Program”</i>	http://12.46.245.173/cfda/cfda.html
PDM	Example of FY05 approved mitigation projects, State of Texas	http://www.txdps.state.tx.us/DEM/mitigation/FY05PDgrant.pdf
PDM	Eligibility Criteria	http://www.fema.gov/government/grant/pdm/fy2007.shtm
PDM	FY07 Guidance	http://www.fema.gov/library/viewRecord.do?id=2095
PDM	Contact: Regional FEMA Office	http://www.fema.gov/about/contact/regions.shtm
PDM	Contact: State FEMA Office	http://www.fema.gov/about/contact/statedr.shtm
CTGP	FY07 Program Guidance	http://www.ojp.usdoj.gov/odp/docs/FY07_CTGP_GUIDANCE.pdf